

SECURITY

Eunsuk Kang

Required reading: *Building Intelligent Systems: A Guide to Machine Learning Engineering*, G. Hulten (2018), Chapter 25: Adversaries and Abuse. *The Top 10 Risks of Machine Learning Security*, G. McGraw et al., IEEE Computer (2020).

LEARNING GOALS

- Explain key concerns in security (in general and with regard to ML models)
- Analyze a system with regard to attacker goals, attack surface, attacker capabilities
- Describe common attacks against ML models, including poisoning and evasion attacks
- Understand design opportunities to address security threats at the system level
- Identify security requirements with threat modeling
- Apply key design principles for secure system design

SECURITY

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 - What does it mean for my system to be secure?

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- Attack surface
 - Which parts of the system are exposed to the attacker?
- Protection mechanisms
 - How do we prevent the attacker from compromising a security requirement?

SECURITY REQUIREMENTS



- "CIA triad" of information security
- **Confidentiality:** Sensitive data must be accessed by authorized users only
- **Integrity:** Sensitive data must be modifiable by authorized users only
- **Availability:** Critical services must be available when needed by clients

EXAMPLE: COLLEGE ADMISSION SYSTEM

FEATURE

Hacker helps applicants breach security at top business schools

Among the institutions affected were Harvard, Duke and Stanford

Using the screen name "brookbond," the hacker broke into the online application and decision system of ApplyYourself Inc. and posted a procedure students could use to access information about their applications before acceptance notices went out.

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- The acceptance notices can only be sent out by the program director.

OTHER SECURITY REQUIREMENTS

- Authentication (no spoofing): Users are who they say they are
- Non-repudiation: Every change can be traced to who was responsible for it
- Authorization (no escalation of privilege): Only users with the right permissions can access a resource/perform an action

THREAT MODELING

WHY THREAT MODEL?



WHAT IS THREAT MODELING?

- Threat model: A profile of an attacker
 - **Goal:** What is the attacker trying to achieve?
 - **Capability:**
 - Knowledge: What does the attacker know?
 - Actions: What can the attacker do?
 - Resources: How much effort can it spend?
 - **Incentive:** Why does the attacker want to do this?



"If you know the enemy and know yourself, you need not fear the result of a hundred battles."
- Sun Tzu, *The Art of War*

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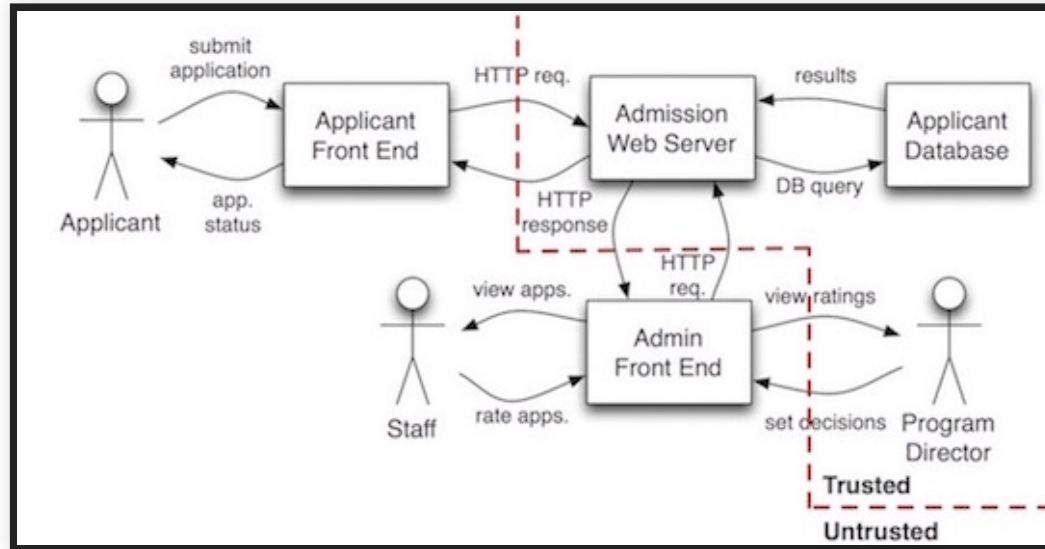
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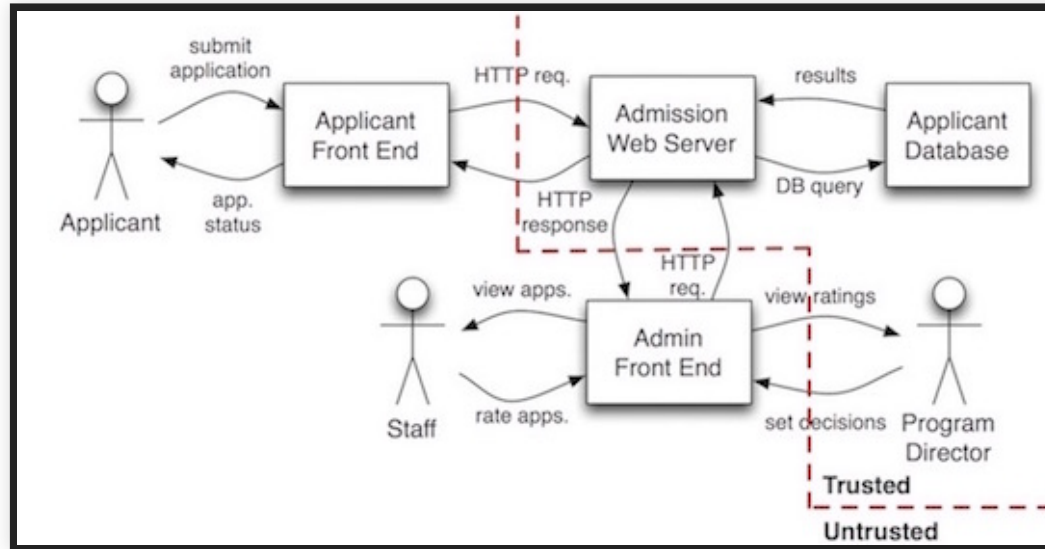
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 - Modify application status to “accepted”
 - Cause website shutdown to sabotage other applicants

ATTACKER CAPABILITY



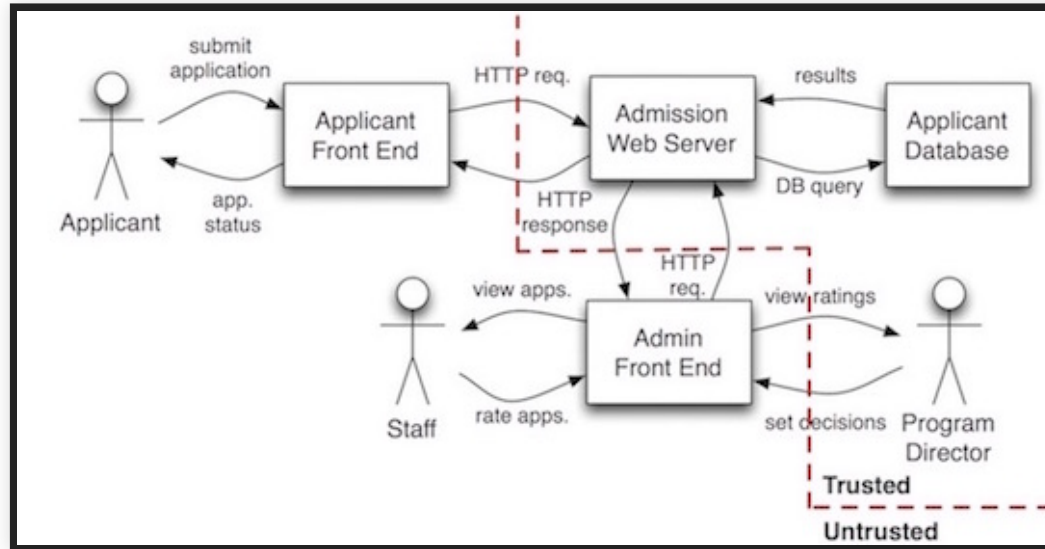
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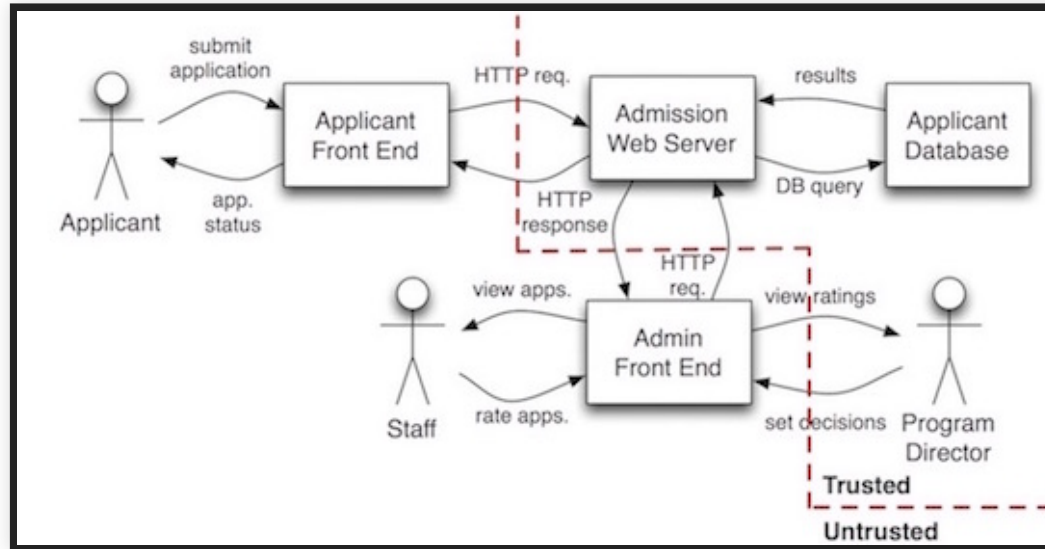
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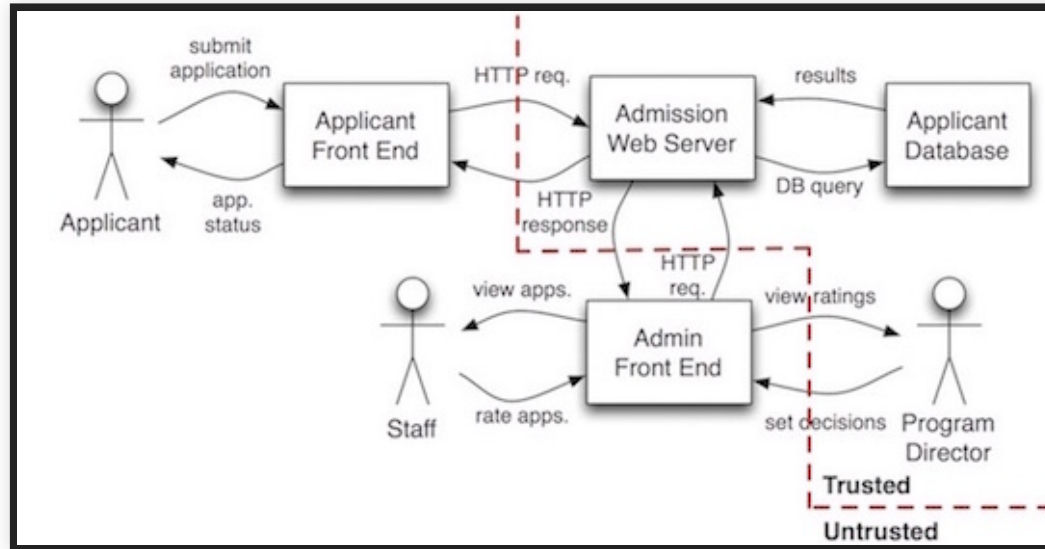
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 - Cyber: Send malicious HTTP requests for SQL injection, DoS attack
 - Social: Send phishing e-mail, bribe an insider for access

STRIDE THREAT MODELING

	Threat	Property Violated	Threat Definition
S	Spoofing identify	Authentication	Pretending to be something or someone other than yourself
T	Tampering with data	Integrity	Modifying something on disk, network, memory, or elsewhere
R	Repudiation	Non-repudiation	Claiming that you didn't do something or were not responsible; can be honest or false
I	Information disclosure	Confidentiality	Providing information to someone not authorized to access it
D	Denial of service	Availability	Exhausting resources needed to provide service
E	Elevation of privilege	Authorization	Allowing someone to do something they are not authorized to do

- A systematic approach to identifying threats & attacker actions
 - For each component, enumerate & identify potential threats
 - e.g., Admission Server & DoS: Applicant may flood it with requests
- Tool available (Microsoft Threat Modeling Tool)
- Limitations:
 - May end up with a long list of threats, not all of them relevant
 - False sense of security: STRIDE does not imply completeness!

OPEN WEB APPLICATION SECURITY PROJECT

OWASP Top 10 Application Security Risks - 2017

A1:2017-Injection

Injection flaws, such as SQL, NoSQL, OS, and LDAP injection, occur when untrusted data is sent to an interpreter as part of a command or query. The attacker's hostile data can trick the interpreter into executing unintended commands or accessing data without proper authorization.

A2:2017-Broken Authentication

Application functions related to authentication and session management are often implemented incorrectly, allowing attackers to compromise passwords, keys, or session tokens, or to exploit other implementation flaws to assume other users' identities temporarily or permanently.

A3:2017-Sensitive Data Exposure

Many web applications and APIs do not properly protect sensitive data, such as financial, healthcare, and PII. Attackers may steal or modify such weakly protected data to conduct credit card fraud, identity theft, or other crimes. Sensitive data may be compromised without extra protection, such as encryption at rest or in transit, and requires special precautions when exchanged with the browser.

A4:2017-XML External Entities (XXE)

Many older or poorly configured XML processors evaluate external entity references within XML documents. External entities can be used to disclose internal files using the file URI handler, internal file shares, internal port scanning, remote code execution, and denial of service attacks.

A5:2017-Broken Access Control

Restrictions on what authenticated users are allowed to do are often not properly enforced. Attackers can exploit these flaws to access unauthorized functionality and/or data, such as access other users' accounts, view sensitive files, modify other users' data, change access rights, etc.

A6:2017-Security Misconfiguration

Security misconfiguration is the most commonly seen issue. This is commonly a result of insecure default configurations, incomplete or ad hoc configurations, open cloud storage, misconfigured HTTP headers, and verbose error messages containing sensitive information. Not only must all operating systems, frameworks, libraries, and applications be securely configured, but they must be patched/updated in a timely fashion.

- OWASP: Community-driven source of knowledge & tools for web security

THREAT MODELING FOR ML

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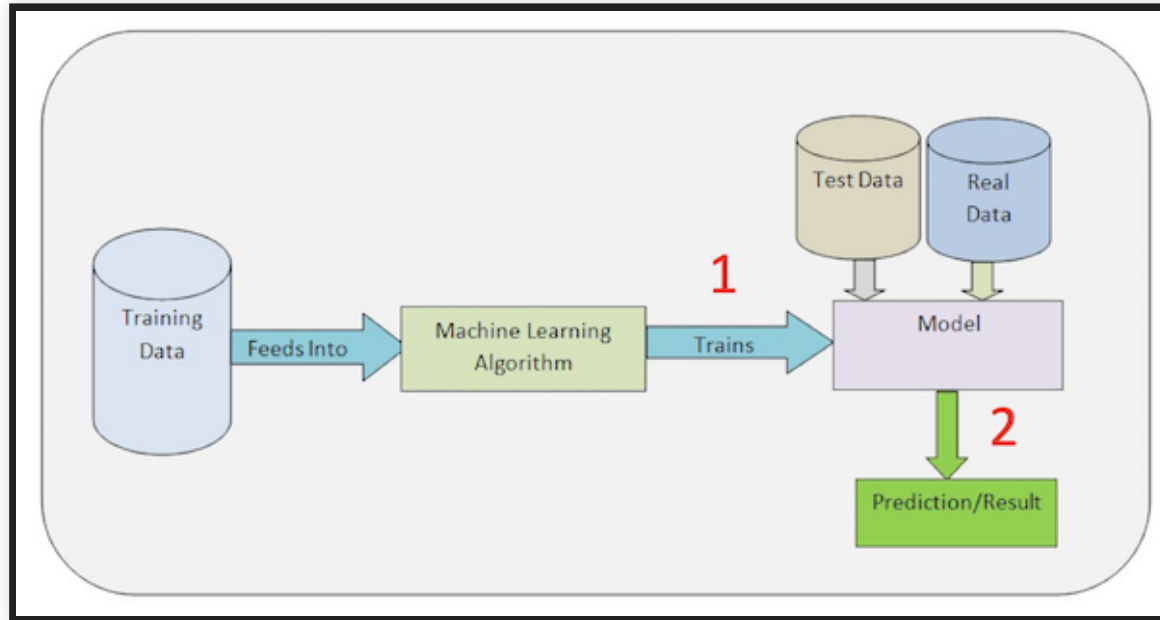
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- Availability attacks: Disruption to critical services
 - Reduce the accuracy of a model
 - Induce a model to misclassify many data points

ATTACKER CAPABILITY



- Knowledge: Does the attacker have access to the model?
 - Training data? Learning algorithm used? Parameters?
- Attacker actions:
 - Training time: **Poisoning attacks**
 - Inference time: **Evasion attacks, model inversion attacks**

POISONING ATTACKS: AVAILABILITY



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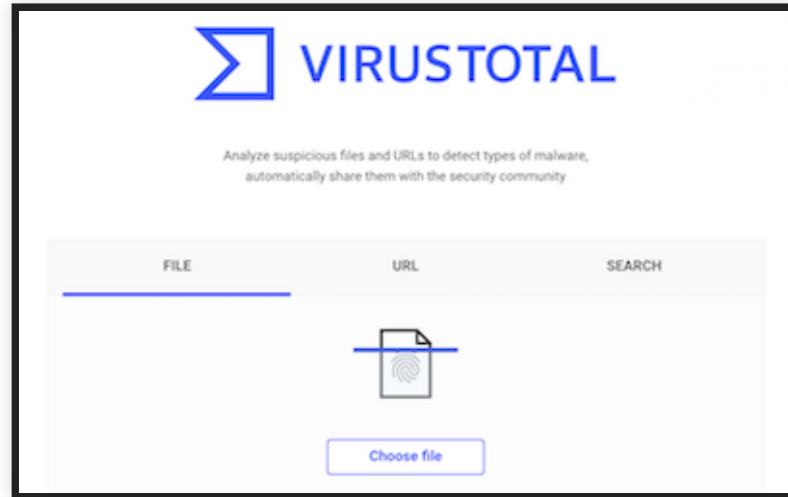
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 - 3% poisoning => 11% decrease in accuracy (Steinhardt, 2017)

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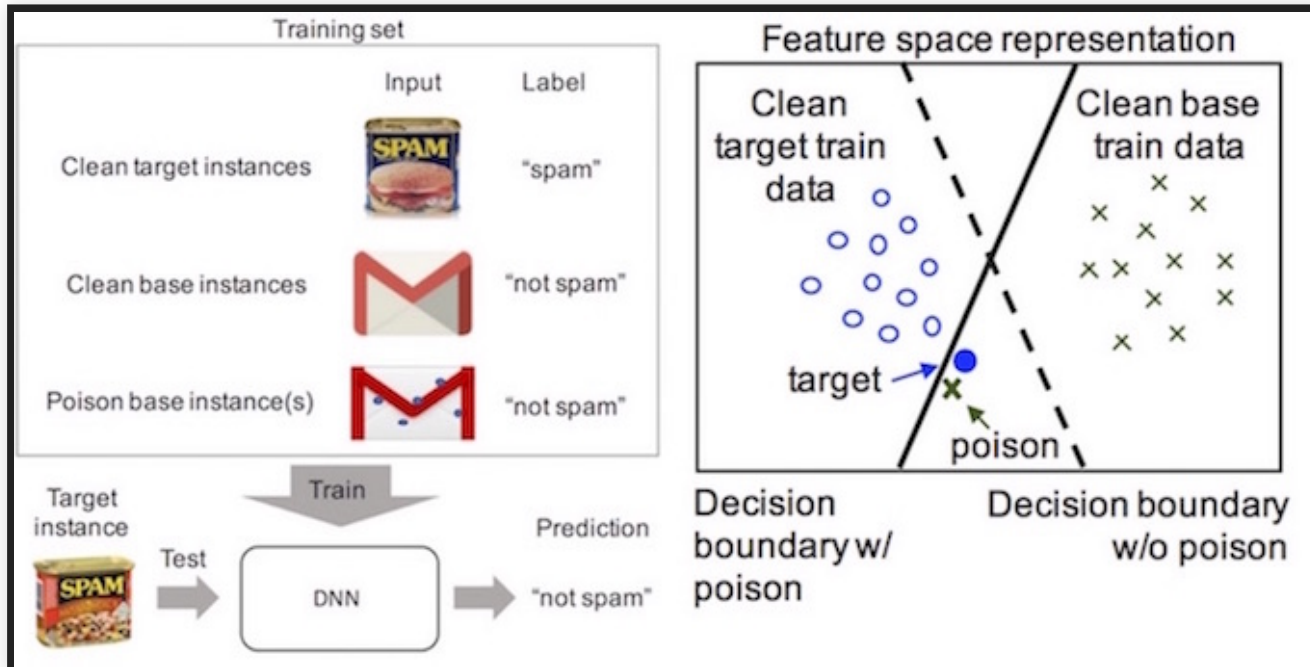
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- Example: Anti-virus (AV) scanner
 - Online platform for submission of potentially malicious code
 - Some AV company (allegedly) poisoned competitor's model

POISONING ATTACKS: INTEGRITY



- Insert training data with seemingly correct labels
- More targeted than availability attacks
 - Cause misclassification from one specific class to another

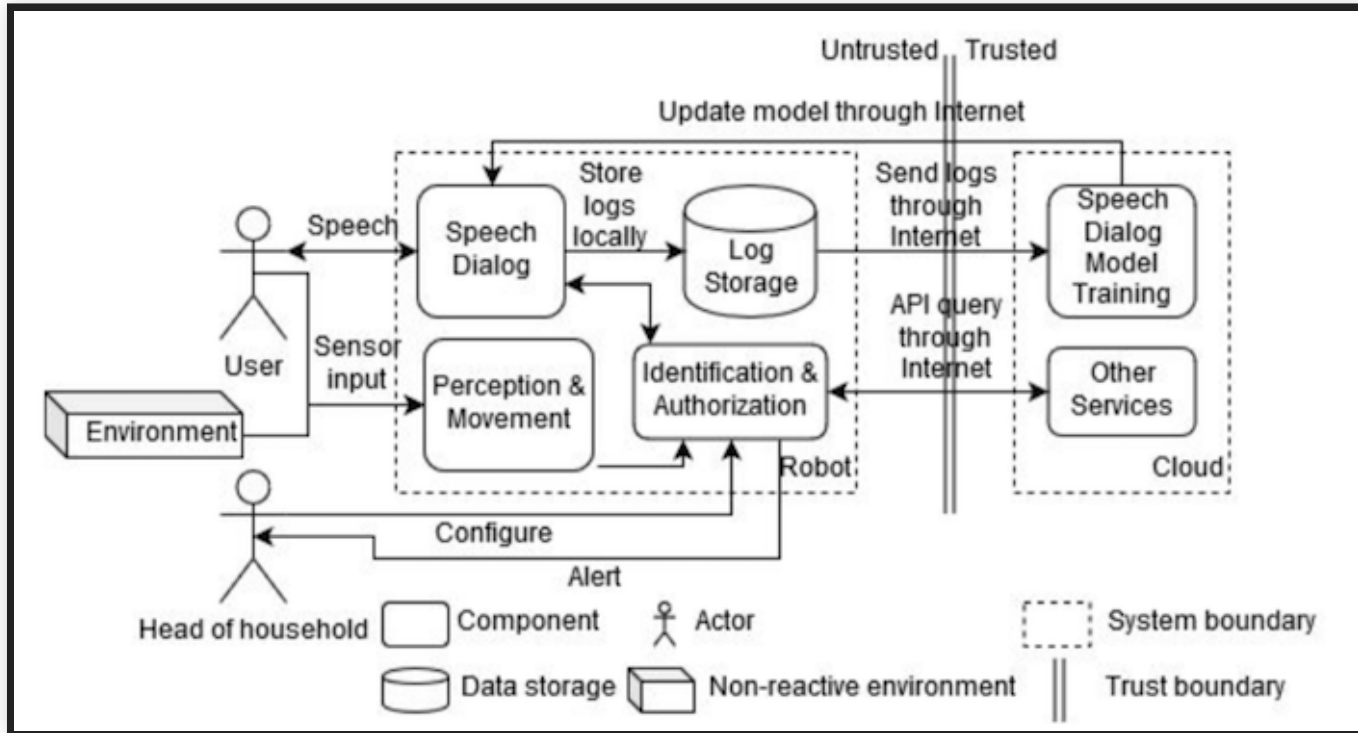
Poison Frogs! Targeted Clean-Label Poisoning Attacks on Neural Networks, Shafahi et al. (2018)

EXAMPLE: HOME ASSISTANT ROBOT



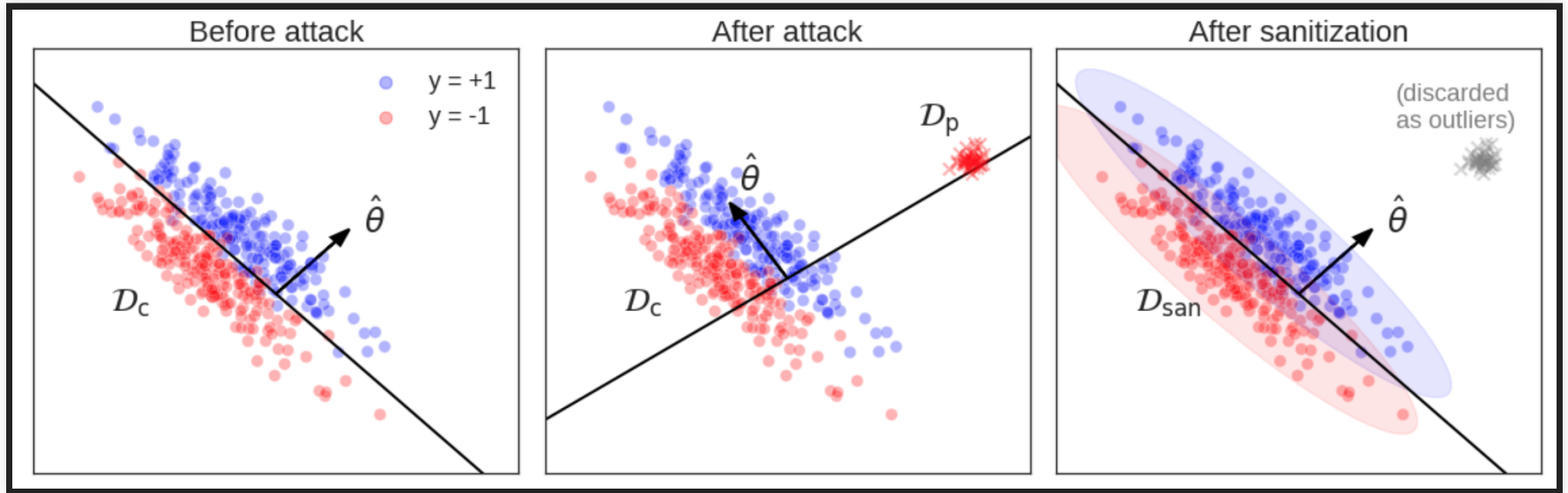
- Dialogue system to interact with family members
- Use perception & speech to identify the person
- Log & upload interactions; re-train & update models for all robots

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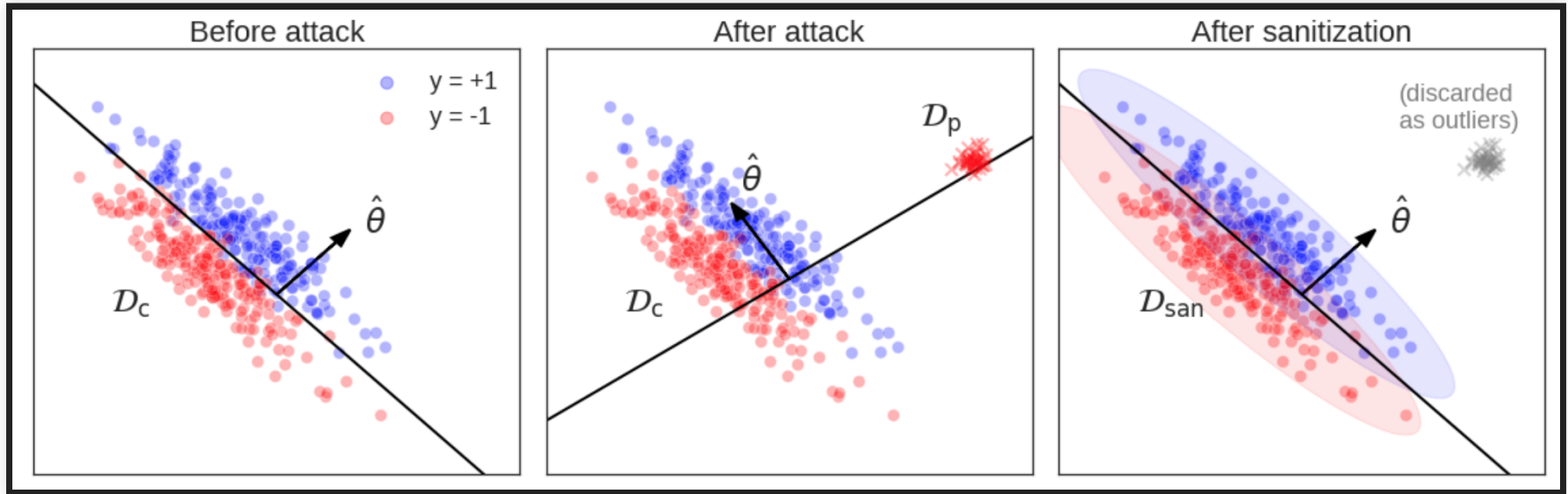


- What are the security requirements?
- What are possible poisoning attacks?
- What does the attacker need to know/access?

DEFENSE AGAINST POISONING ATTACKS

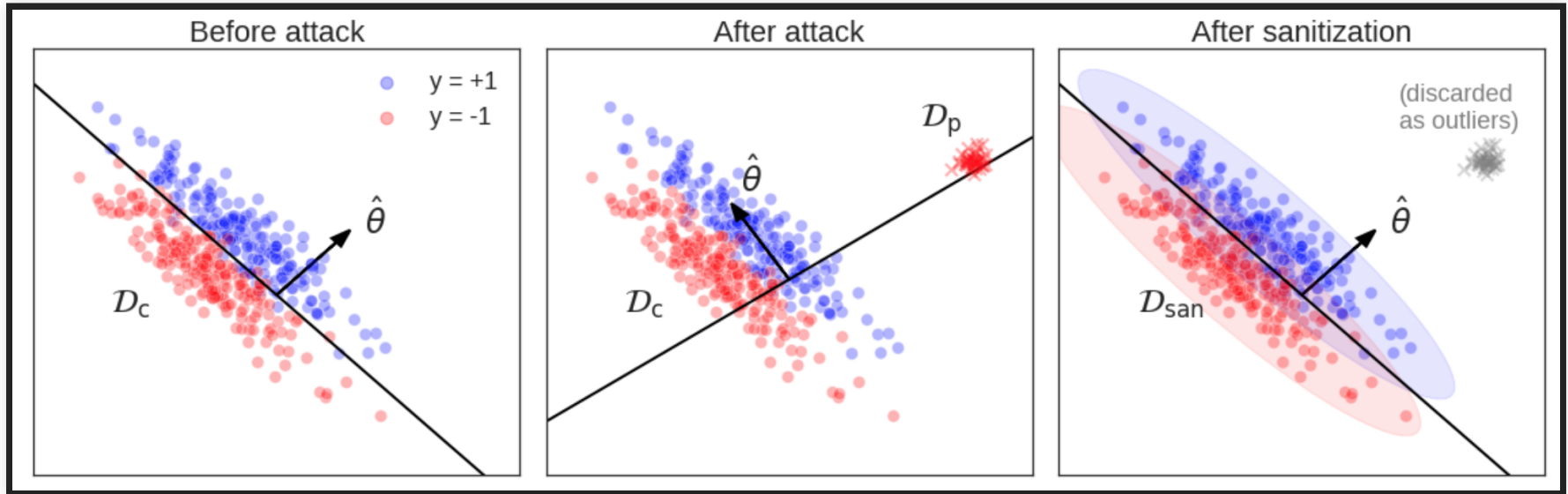


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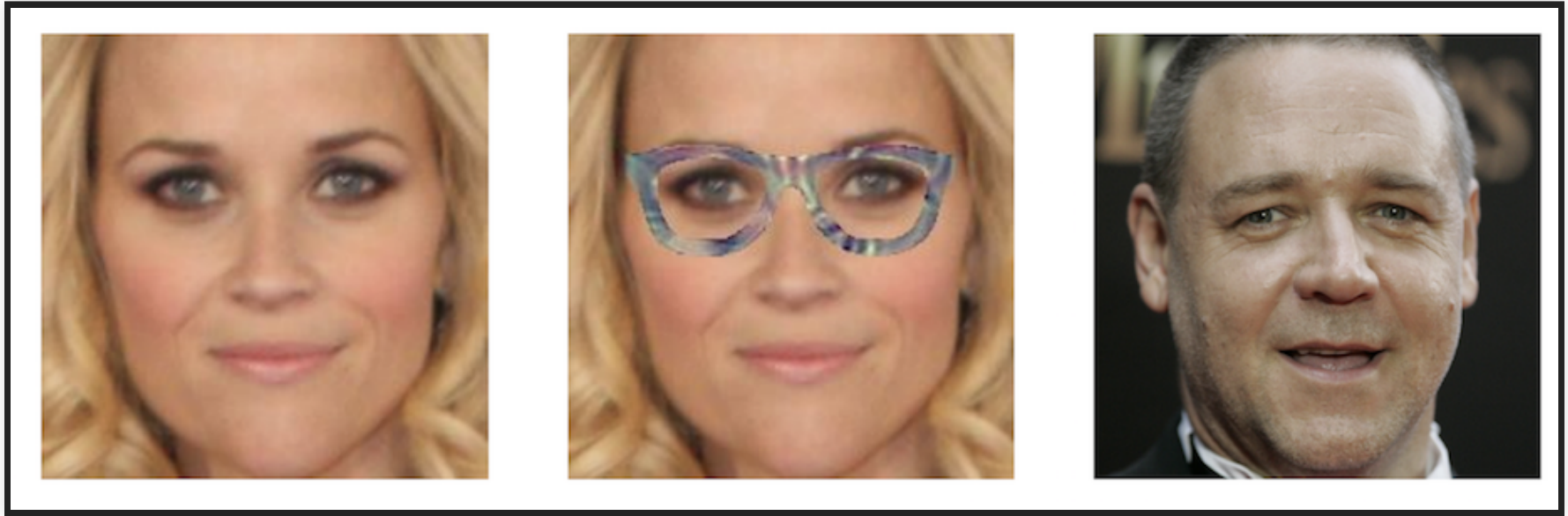
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 - Identify and remove outliers in training set (see [data quality lecture](#))
 - Identify and understand drift from telemetry

DEFENSE AGAINST POISONING ATTACKS



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 - Identify and remove outliers in training set (see [data quality lecture](#))
 - Identify and understand drift from telemetry
- Quality control over your training data
 - Who can modify or add to my training set? Do I trust the data source?
 - Use security mechanisms (e.g., authentication) and logging to track data provenance

EVASION ATTACKS (ADVERSARIAL EXAMPLES)



- Add noise to an existing sample & cause misclassification
- Attack at inference time
 - Typically assumes knowledge of the model (algorithm, parameters)
 - Recently, shown to be possible even when the attacker only has access to model output ("blackbox" attack)

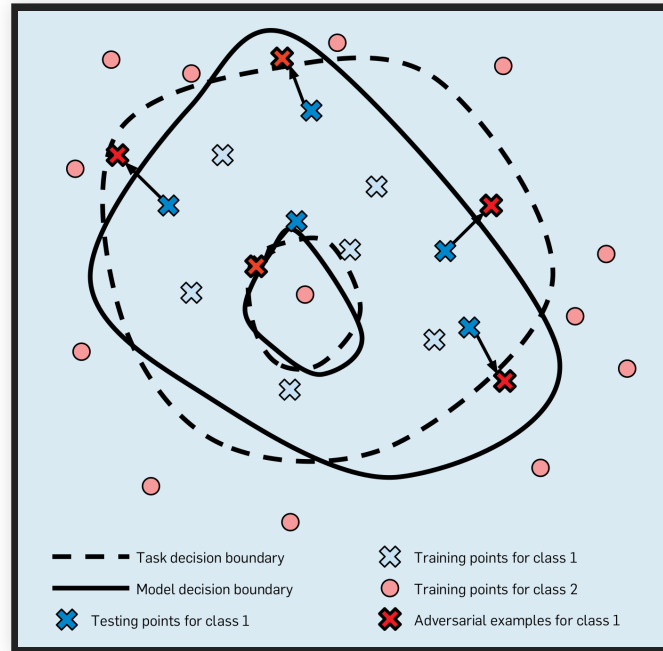
Accessorize to a Crime: Real and Stealthy Attacks on State-of-the-Art Face Recognition, Sharif et al. (2016).

EVASION ATTACKS: ANOTHER EXAMPLE



Robust Physical-World Attacks on Deep Learning Visual Classification, Eykholt et al., in CVPR (2018).

TASK DECISION BOUNDARY VS MODEL BOUNDARY



- Decision boundary: Ground truth; often unknown and not specifiable
- Model boundary: What the model learns; an approximation of decision boundary

From Goodfellow et al (2018). [Making machine learning robust against adversarial inputs](#). *Communications of the ACM*, 61(7), 56-66.

EXAMPLE: HOME ASSISTANT ROBOT



- What are possible evasion attacks? Possible consequences?
- What does the attacker need to know/access?

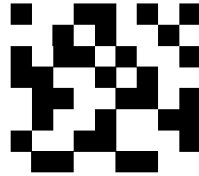
DEFENSE AGAINST EVASION ATTACKS



(a) Visual Image



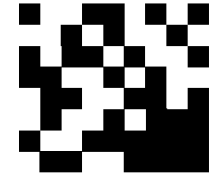
(b) Infrared Image of Smart Code



(c) Original Codeword

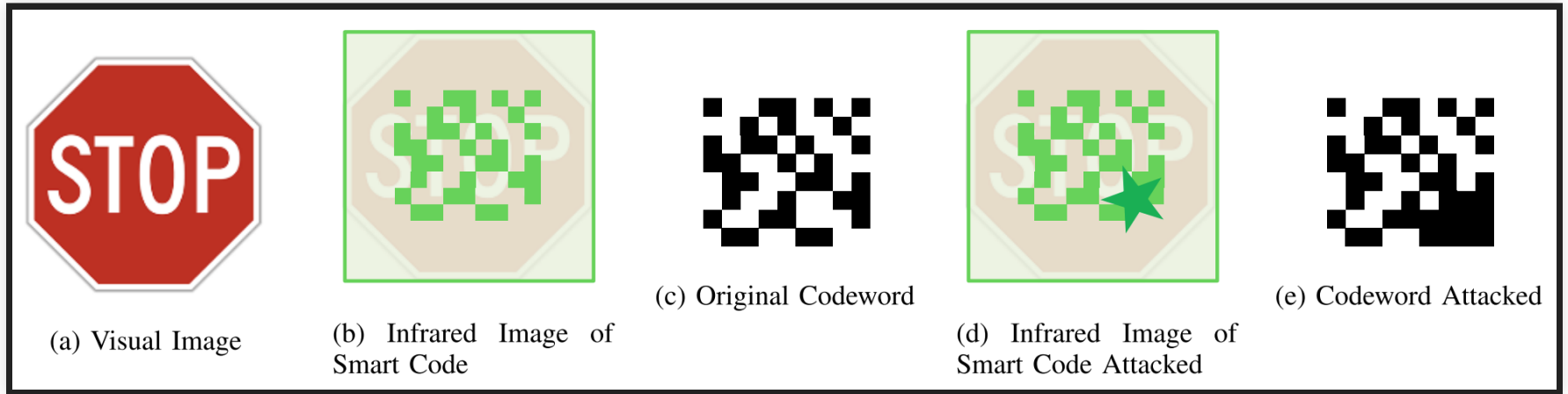


(d) Infrared Image of Smart Code Attacked



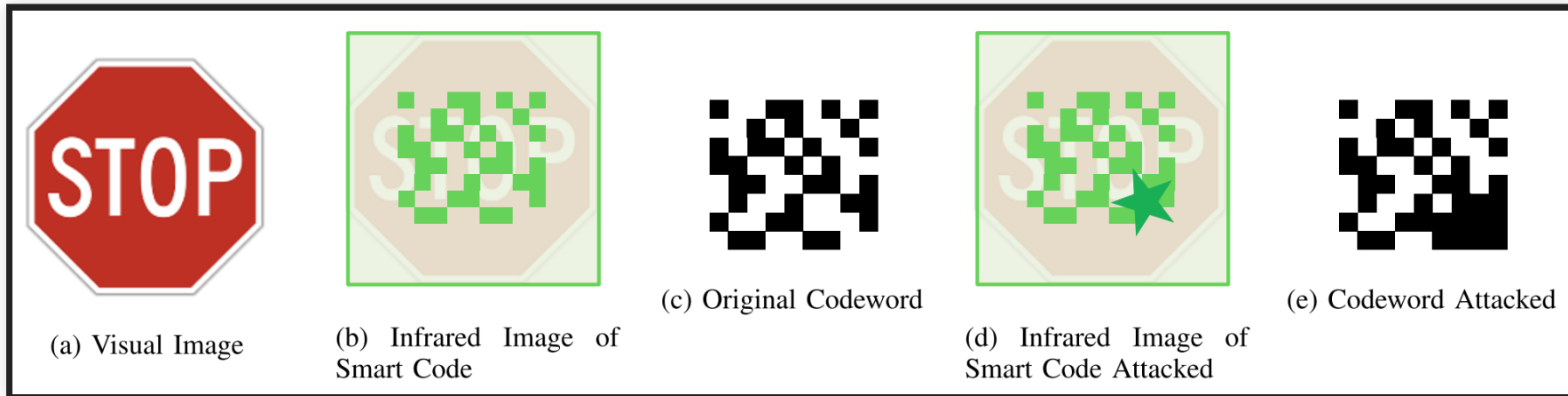
(e) Codeword Attacked

DEFENSE AGAINST EVASION ATTACKS



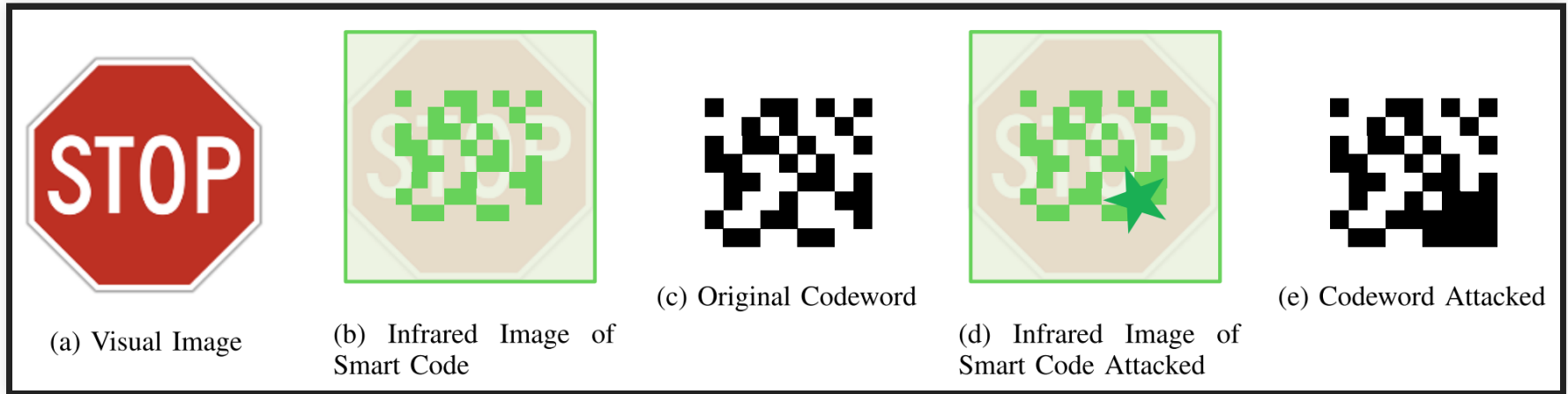
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 - Generate/find a set of adversarial examples
 - Re-train your model with correct labels

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- Redundancy: Design multiple mechanisms to detect an attack
 - Stop sign: Insert a barcode as a checksum; harder to bypass

GENERATING ADVERSARIAL EXAMPLES

- See [counterfactual explanations](#)
- Find similar input with different prediction
 - targeted (specific prediction) vs untargeted (any wrong prediction)
- Many similarity measures (e.g., change one feature vs small changes to many features)
 - $x^* = x + \operatorname{argmin}\{|\epsilon| : f(x + \epsilon) \neq f(x)\}$
- Attacks more effective with access to model internals, but also black-box attacks (with many queries to the model) feasible
 - With model internals: follow the model's gradient
 - Without model internals: learn [surrogate model](#)
 - With access to confidence scores: heuristic search (e.g., hill climbing)

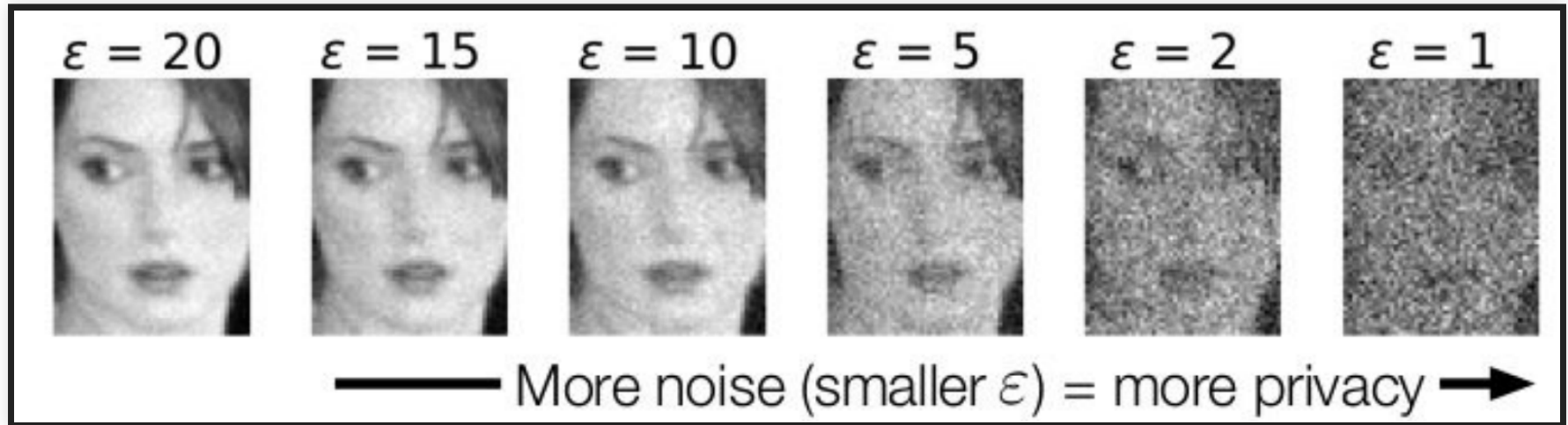
MODEL INVERSION: CONFIDENTIALITY



- Given a model output (e.g., name of a person), infer the corresponding, potentially sensitive input (facial image of the person)
- One method: Gradient descent on input space
 - Assumes that the model produces a confidence score for prediction
 - Start with a random input vector & iterate towards input values with higher confidence level

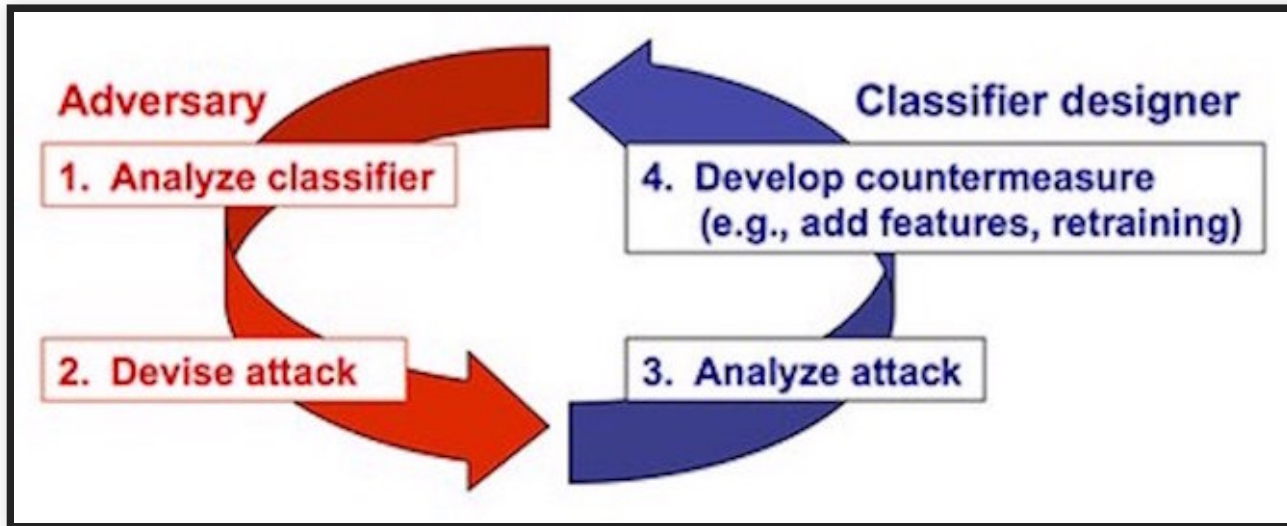
Model Inversion Attacks that Exploit Confidence Information and Basic Countermeasures, M. Fredrikson et al. in CCS (2015).

DEFENSE AGAINST MODEL INVERSION ATTACKS

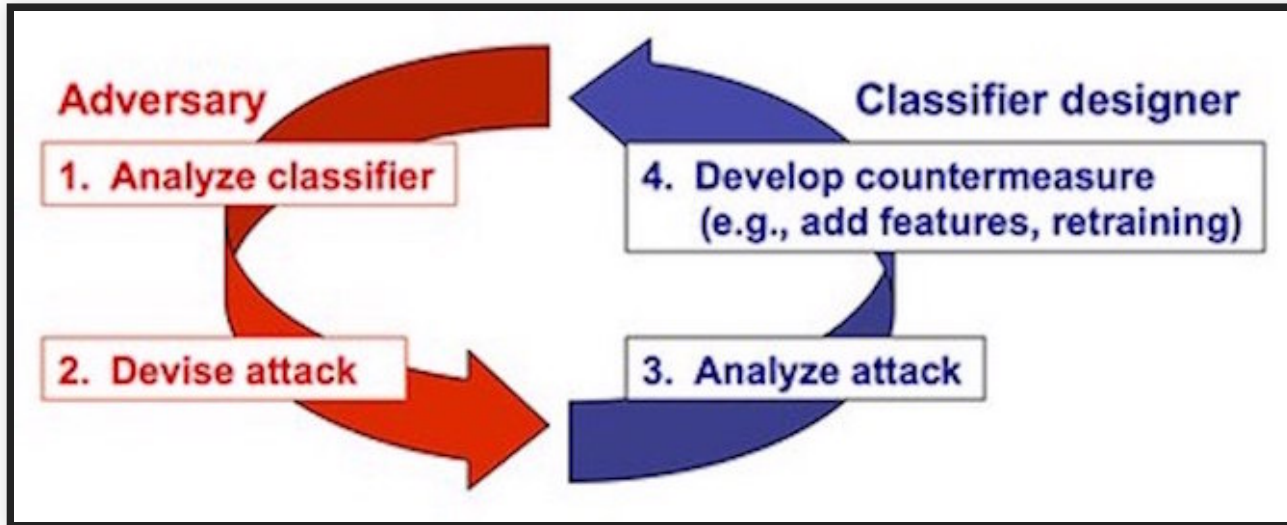


- Limit attacker access to confidence scores
 - e.g., reduce the precision of the scores by rounding them off
 - But also reduces the utility of legitimate use of these scores!
- Differential privacy in ML
 - Limit what attacker can learn about the model (e.g., parameters) based on an individual training sample
 - Achieved by adding noise to input or output (e.g., DP-SGD)
 - More noise => higher privacy, but also lower model accuracy!

STATE OF ML SECURITY

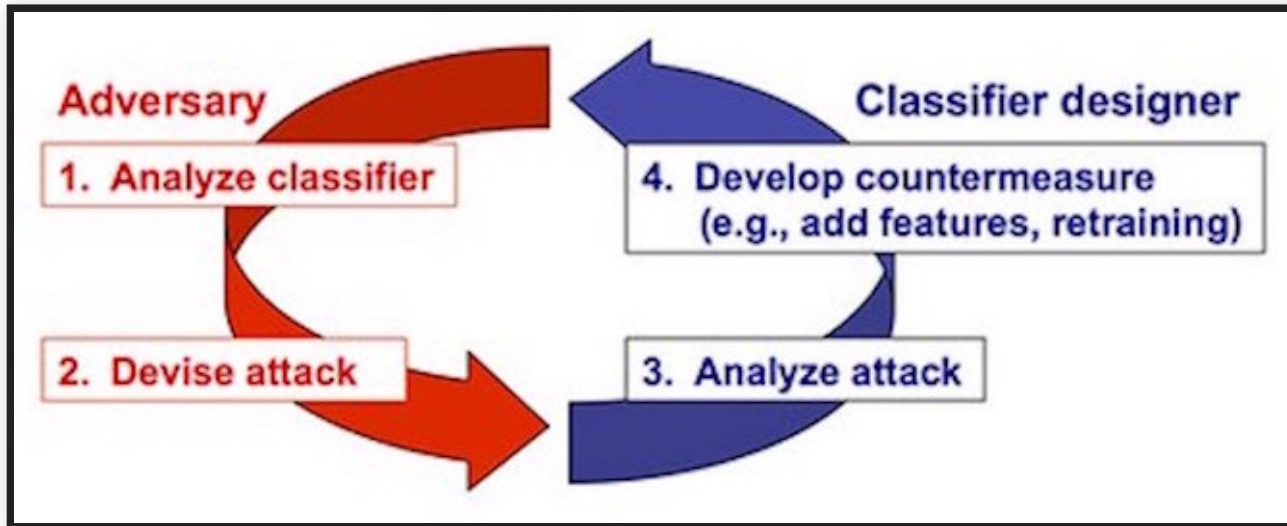


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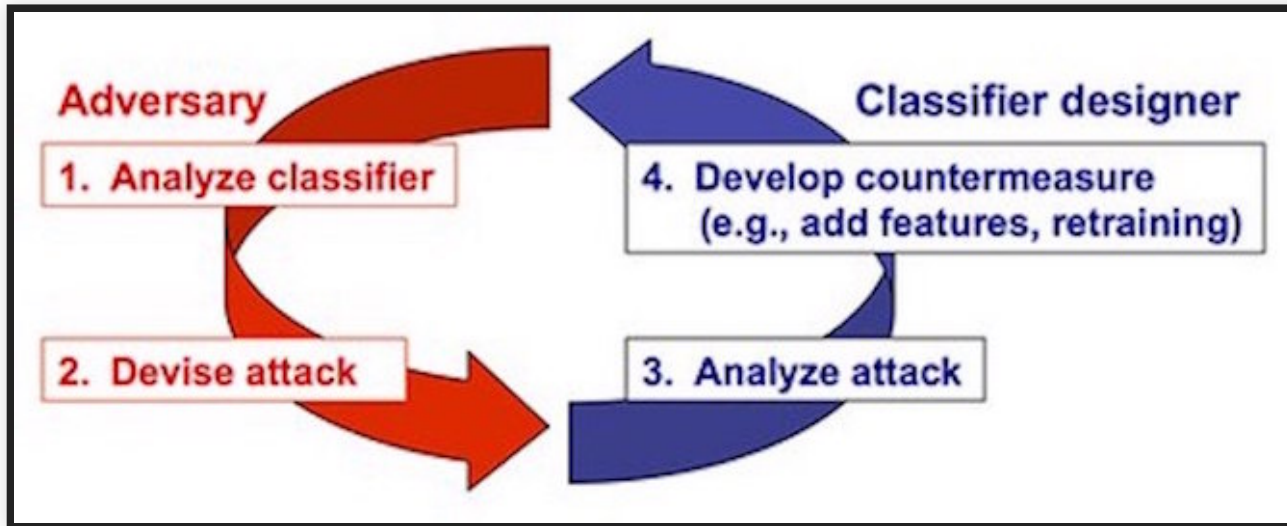
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- Assume ML component is likely vulnerable
 - Design your system to minimize impact of an attack
- Remember: There may be easier ways to compromise system
 - e.g., poor security misconfiguration (default password), lack of encryption, code vulnerabilities, etc.,

DESIGNING FOR SECURITY

SECURITY MINDSET

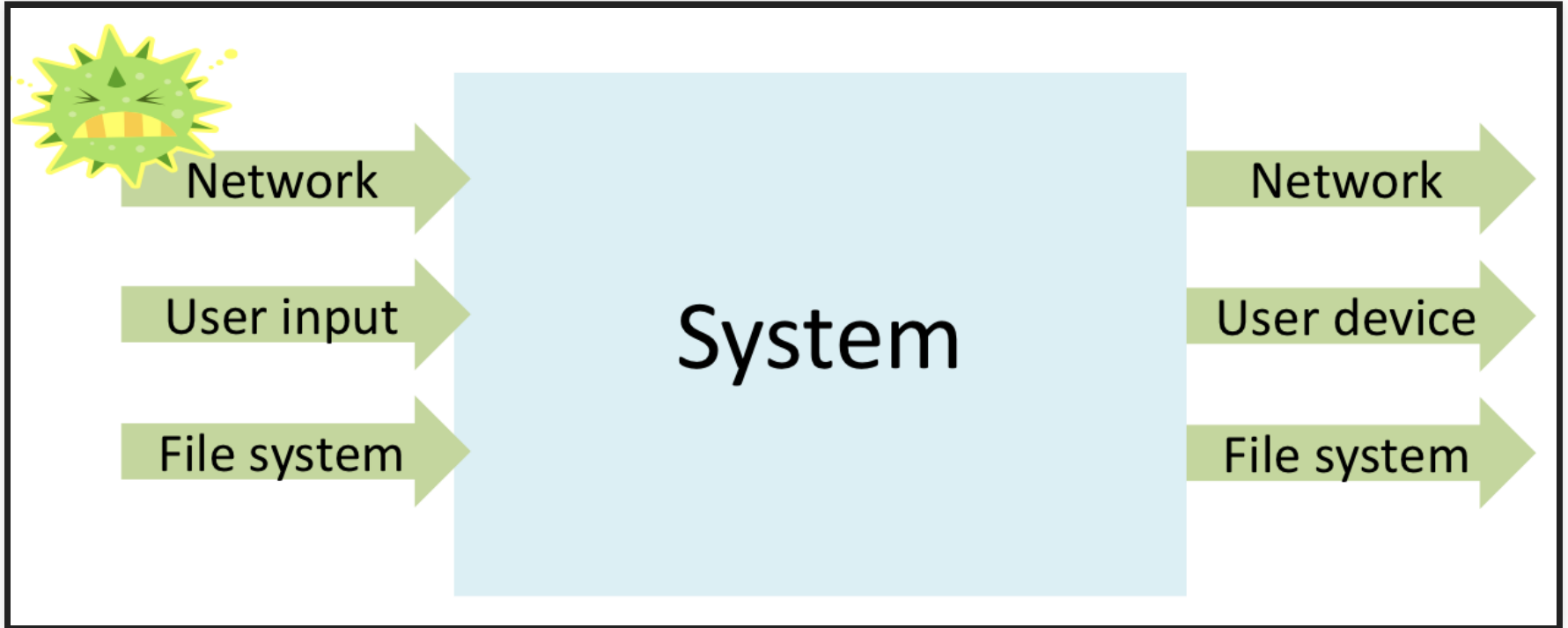


- Assume that all components may be compromised at one point or another
- Don't assume users will behave as expected; assume all inputs to the system as potentially malicious
- Aim for risk minimization, not perfect security; reduce the chance of catastrophic failures from attacks

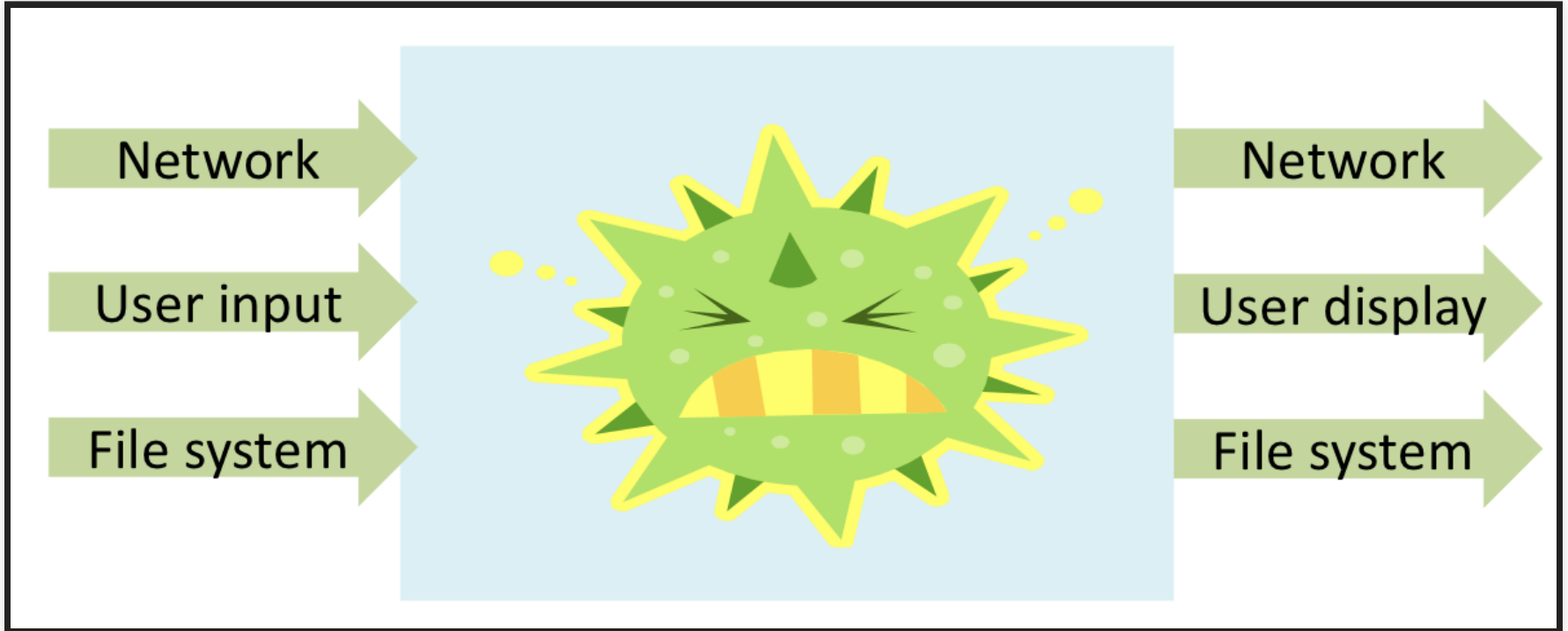
SECURE DESIGN PRINCIPLES

- Principle of least privilege
 - A component should be given the minimal privileges needed to fulfill its functionality
- Isolation/compartmentalization
 - Components should be able to interact with each other no more than necessary
 - Components should treat inputs from each other as potentially malicious
- Goal: Minimize the impact of a compromised component on the rest of the system
 - In poor system designs, vulnerability in one component => entire system compromised!

MONOLITHIC DESIGN

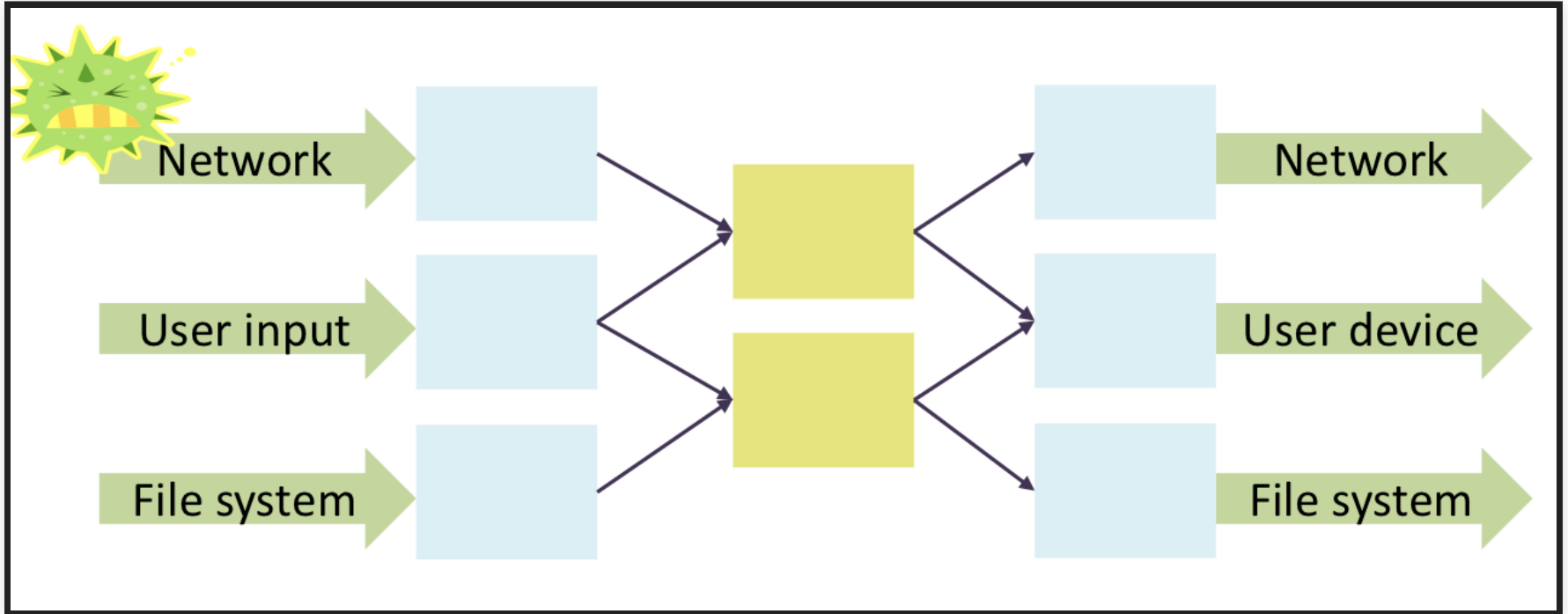


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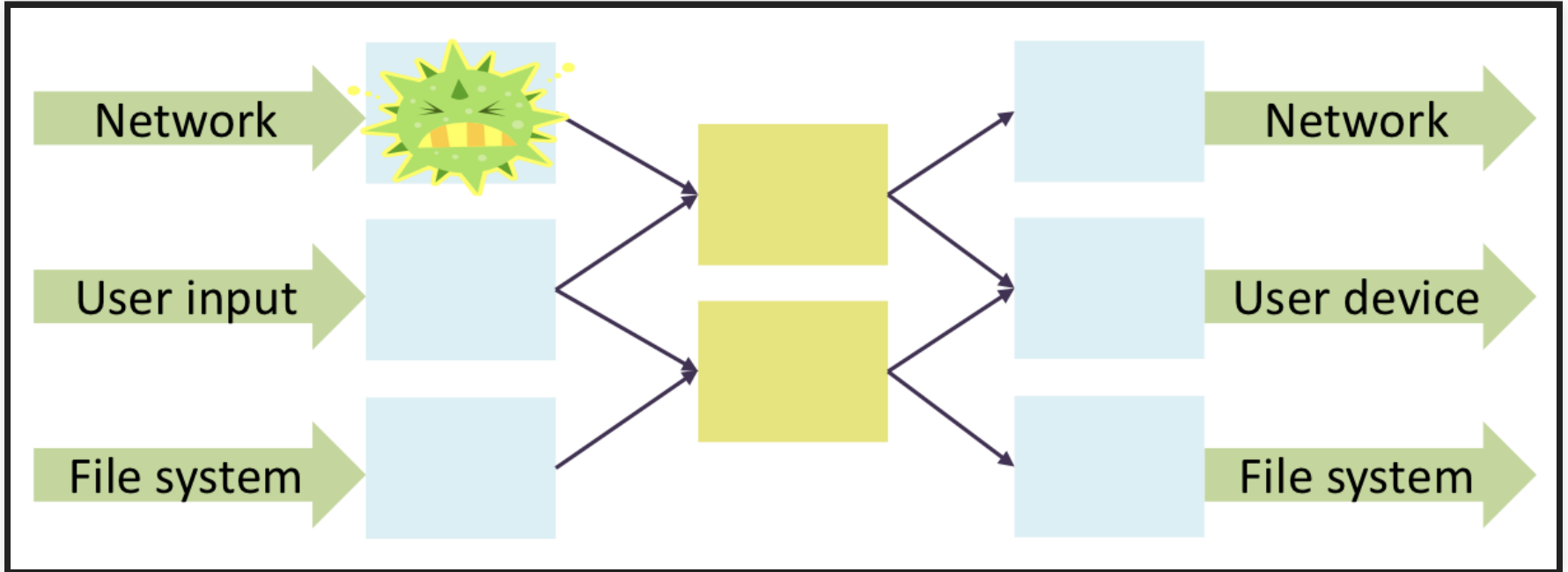


Flaw in any part of the system => Security impact on the entire system!

COMPARTMENTALIZED DESIGN

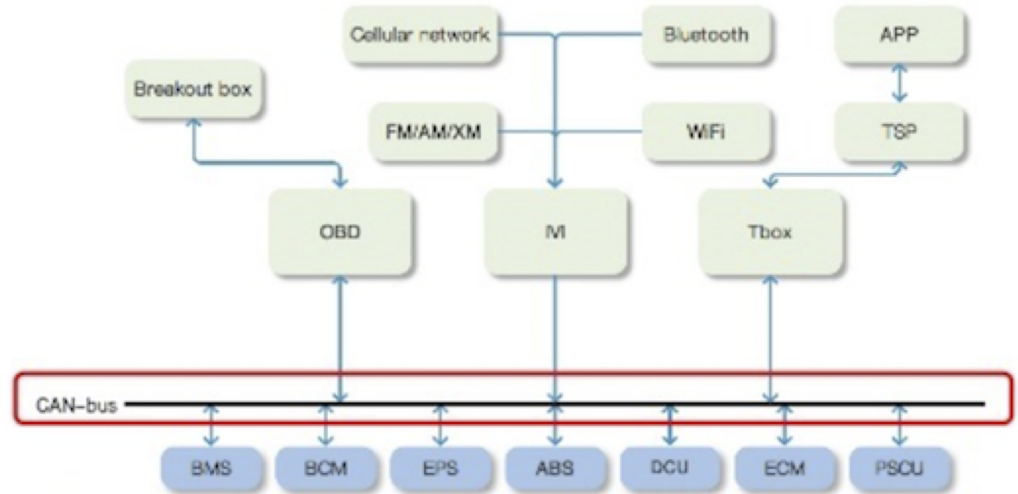


COMPARTMENTALIZED DESIGN



Flaw in one component => Limited impact on the rest of the system!

EXAMPLE: VEHICLE SECURITY



- Research project@UCSD: Remotely taking over vehicle control
 - Create MP3 with malicious code & burn onto CD
 - Play CD => send malicious commands to brakes, engine, locks...
- Problem: Over-privilege & lack of isolation!
 - In traditional vehicles, components share a common CAN bus
 - Anyone can broadcast & read messages

EXAMPLE: MAIL CLIENT

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- Requirements
 - Receive & send email over external network
 - Place incoming email into local user inbox files

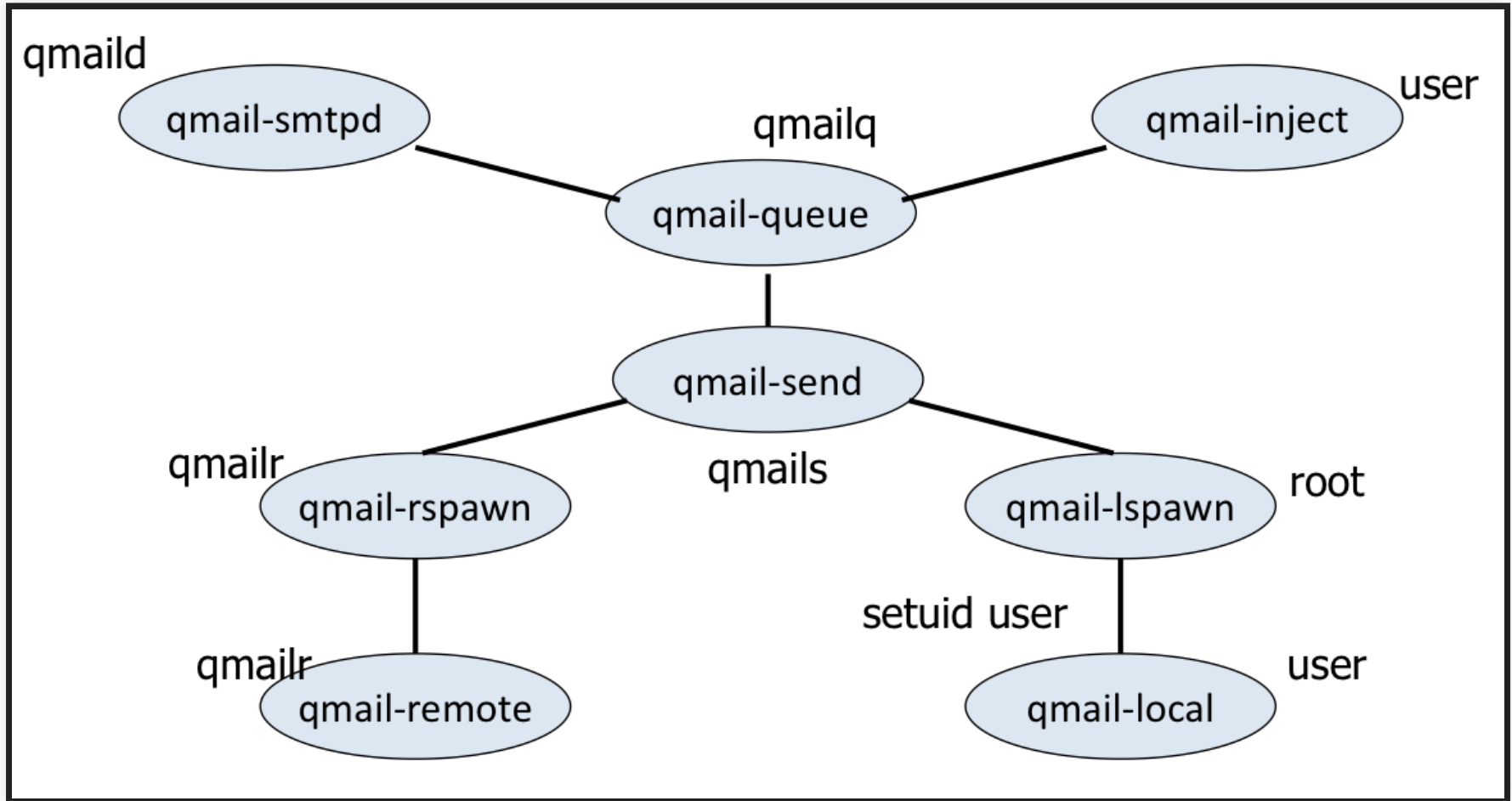
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 - Monolithic design; entire program runs as UNIX root
 - Historical source of many vulnerabilities

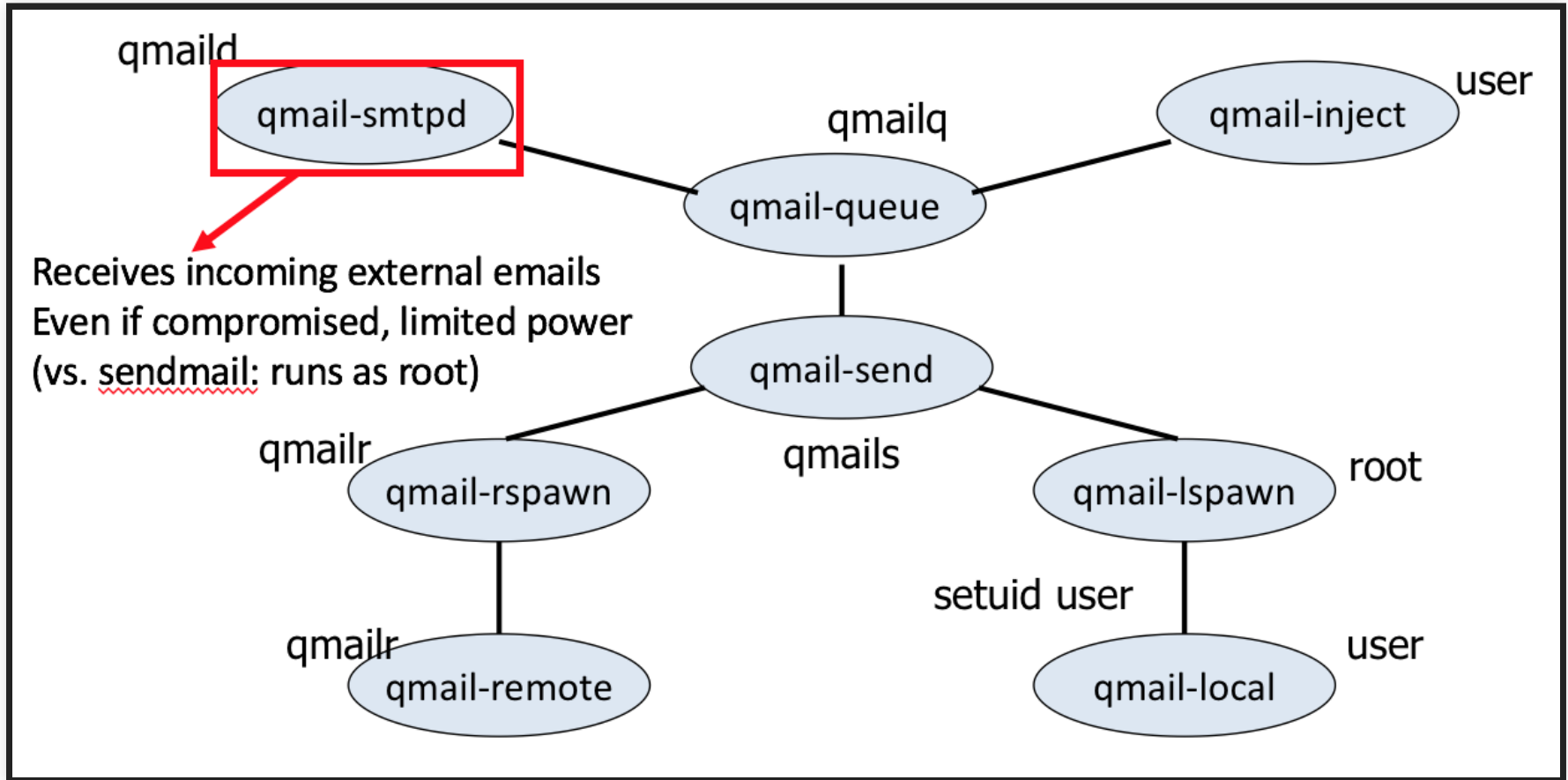
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 - Historical source of many vulnerabilities
- Qmail: “Security-aware” mail agent
 - Compartmentalized design
 - Isolation based on OS process isolation
 - Separate modules run as separate “users” (UID)
 - Mutually distrusting processes
 - Least privilege
 - Minimal privileges for each UID; access to specific resources (files, network sockets, ...)
 - Only one “root” user (with all privileges)

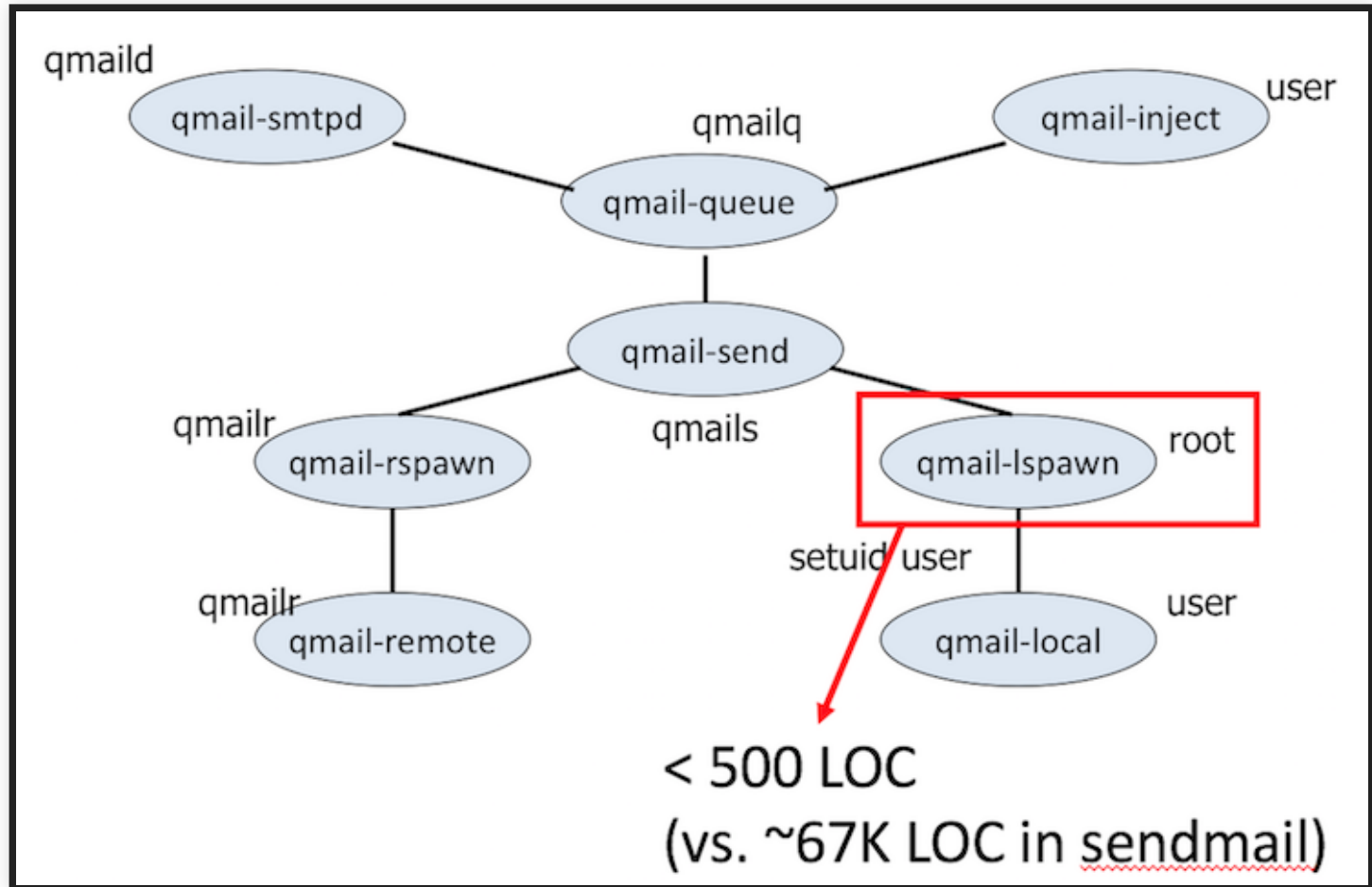
QMAIL ARCHITECTURE



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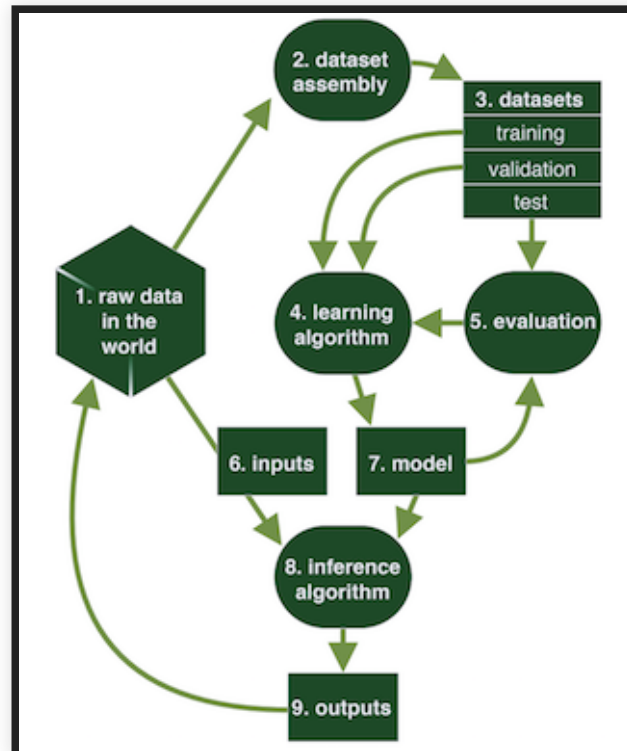
QMAIL ARCHITECTURE



- Component running as root much smaller than in sendmail; much easier to test & verify that it's free of vulnerabilities

SECURE DESIGN PRINCIPLES FOR ML

- Principle of least privilege
 - Who has access to training data, model internal, system input & output, etc.,?
 - Does any user/stakeholder have more access than necessary?
 - If so, limit access by using authentication mechanisms



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 - Can a security attack on one ML component (e.g., misclassification) adversely affect other parts of the system?
 - If so, compartmentalize or build in mechanisms to limit impact (see [risk mitigation strategies](#))

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- Monitoring & detection:
 - Look for odd shifts in the dataset and clean the data if needed (for poisoning attacks)
 - Assume all system input as potentially malicious & sanitize (evasion attacks)

AI FOR SECURITY



30 COMPANIES MERGING AI AND CYBERSECURITY TO KEEP US SAFE AND SOUND

Alyssa Schroer

July 12, 2019 Updated: July 15, 2020

By the year 2021, cybercrime losses will

MANY DEFENSE SYSTEMS USE MACHINE LEARNING

- Classifiers to learn malicious content
 - Spam filters, virus detection
- Anomaly detection
 - Identify unusual/suspicious activity, eg. credit card fraud, intrusion detection
 - Often unsupervised learning, e.g. clustering
- Game theory
 - Model attacker costs and reactions, design countermeasures
- Automate incidence response and mitigation activities
 - Integrated with DevOps
- Network analysis
 - Identify bad actors and their communication in public/intelligence data
- Many more, huge commercial interest

Recommended reading: Chandola, Varun, Arindam Banerjee, and Vipin Kumar. "[Anomaly detection: A survey](#)." ACM computing surveys (CSUR) 41, no. 3 (2009): 1-58.

AI SECURITY SOLUTIONS ARE AI-ENABLED SYSTEMS TOO

- AI/ML component one part of a larger system
- Consider entire system, from training to telemetry, to user interface, to pipeline automation, to monitoring
- AI-based security solutions can be attacked themselves

EQUIFAX

Speaker notes

One contributing factor to the Equifax attack was an expired certificate for an intrusion detection system

SUMMARY

- Security requirements: Confidentiality, integrity, availability
- Threat modeling to identify security requirements & attacker capabilities
- ML-specific attacks on training data, telemetry, or the model
 - Poisoning attack on training data to influence predictions
 - Evasion attacks to shape input data to achieve intended predictions (adversarial learning)
 - Model inversion attacks for privacy violations
- Security design at the system level
 - Principle of least privilege
 - Isolation & compartmentalization
- AI can be used for defense (e.g. anomaly detection)
- **Key takeaway:** Adopt a security mindset! Assume all components may be vulnerable in one way or another. Design your system to explicitly reduce the impact of potential attacks

